Choose the Best Responses:
Request for a Partial Waiver of HIPAA Research Authorization

You are members of a research team tasked with submitting a request for a partial waiver of HIPAA authorization for recruitment purposes. Follow the steps below to choose the best responses for the Buck-IRB application prompts.

1. Designate a Group Note-taker to record the group’s decision, as well as a Spokesperson who will share the group’s thoughts with the larger audience.
2. Read the description of the study design and recruitment strategy (below).
3. Use the Partial Waiver Sample Responses (handout – one per person) to choose the best answers for each question based on the study information and regulatory criteria for a partial waiver of HIPAA authorization.
   a. Circle the responses you think are best. Multiple responses may be appropriate for a single question!
   b. The lists are not exhaustive. Feel free to create your own responses too.
   c. The Group Note-taker should capture the group’s discussion and final decision on his/her Sample Responses sheet.

SAMPLE STUDY – REQUEST FOR PARTIAL WAIVER OF HIPAA AUTHORIZATION

• **Study design:** Survey study comparing quality of life measures and lifestyle choices between two groups of asthma patients (smokers and never smokers)

• **Recruitment strategy:**
   o Identify patients from Ohio State Lung Center at Martha Morehouse Outpatient Clinic who meet inclusion criteria
   o Three physician-researchers (Drs. Odor, Patricia, and Meadows) will recruit their own patients on day of clinic appointment at the Lung Center

• **Study population** (inclusion criteria):
   o Either (1) smoker for 3+ years OR (2) never smoker
   o 18 to 35 years of age
   o Diagnosed with asthma
   o Pulmonary Function Test (PFT) values indicate mild to moderate obstruction